

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/729,491 |
| Filing Date | 12/03/2000 |
| First Named Inventor | William F. Leek |
| Group Art Unit | 3635 |
| Examiner Name | Tran A. P. |
| Attorney Docket Number | SST/1035 |

U.S. PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| | | Number | Kind Code ² (if known) | | | |
| PA | | 179,994 | | Brallier | 07/18/1876 | |
| | | 487,721 | | De Kalb | 12/13/1892 | |
| | | 518,165 | | Thalaker | 04/10/1894 | |
| | | 560,554 | | Wiestner | 05/19/1896 | |
| | | 581,551 | | Green | 04/27/1897 | |
| | | 582,424 | | Hunt | 05/11/1897 | |
| | | 856,868 | | Heffner | 06/11/1907 | |
| | | 1,344,417 | | Lovekin | 06/22/1920 | |
| | | 1,347,687 | | Ellis et al. | 07/27/1920 | |
| | | 1,374,713 | | Bell | 04/12/1921 | |
| | | 1,589,307 | | Svebilus | 06/15/1926 | |
| | | 1,746,978 | | Winkler | 02/11/1930 | |
| | | 1,953,354 | | Holland-Letz | 04/03/1934 | |
| | | 1,966,780 | | Wyrick | 07/17/1934 | |
| | | 2,021,051 | | Desbrueres | 11/12/1935 | |
| | | 2,066,541 | | Schenk | 01/05/1937 | |
| | | 2,405,889 | | Kennedy | 08/13/1946 | |
| | | 2,261,537 | | Zamara | 11/04/1941 | |
| | | 2,587,560 | | Widmer | 02/26/1952 | |
| | | 2,896,496 | | Jansen | 07/28/1959 | |

RECEIVED

JUN 17 2002

GROUP 3600

FOREIGN PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | Country Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------------------|--------------------------|-------------------------|---------------------|---|--|--|----------------|
| | | Office ³ | Number ⁴ | | | | |
| PA | | | CA 2,313,735 | Commits Canada | 07/07/2000 | | |
| | | | GB 383,460 | Raworth & Moss Britain | 11/17/1932 | | |
| | | | GB 1,099,472 | Burgess et al. Britain | 01/17/1968 | | |
| | | | GB 1,384,511 | J.A. Kemp & Co. Britain | 02/19/1975 | | |
| | | | JP 46-1521 | Ielenwelks | 01/10/1971 | | |
| | | | 706586 | Russia | 12/30/1979 | | |
| | | | 796498 | Rosavteromprom Russia | 01/15/1981 | | |

Examiner
SignatureDate
Considered

8/9/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside

EV 075869319

PTO/SB/08A (10-96)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

Application Number 09/729,491
Filing Date 12/03/2000
First Named Inventor William F. Leek
Group Art Unit 3635
Examiner Name Tran A. P.
Attorney Docket Number SST/1035

U.S. PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| | | Number | Kind Code ² (if known) | | | |
| PA | | 179,994 | | Brallier | 07/18/1876 | |
| | | 487,721 | | De Kalb | 12/13/1892 | |
| | | 518,165 | | Thalaker | 04/10/1894 | |
| | | 560,554 | | Wiestner | 05/19/1896 | |
| | | 581,551 | | Green | 04/27/1897 | |
| | | 582,424 | | Hunt | 05/11/1897 | |
| | | 856,868 | | Heffner | 06/11/1907 | |
| | | 1,344,417 | | Lovekin | 06/22/1920 | |
| | | 1,347,687 | | Ellis et al. | 07/27/1920 | |
| | | 1,374,713 | | Bell | 04/12/1921 | |
| | | 1,589,307 | | Svebilus | 06/15/1926 | |
| | | 1,746,978 | | Winkler | 02/11/1930 | |
| | | 1,953,354 | | Holland-Letz | 04/03/1934 | |
| | | 1,966,780 | | Wyrick | 07/17/1934 | |
| | | 2,021,051 | | Desbrueres | 11/12/1935 | |
| | | 2,066,541 | | Schenk | 01/05/1937 | |
| | | 2,405,889 | | Kennedy | 08/13/1946 | |
| | | 2,261,537 | | Zamara | 11/04/1941 | |
| | | 2,587,560 | | Widmer | 02/26/1952 | |
| | | 2,896,496 | | Jansen | 07/28/1959 | |

RECEIVED

JUN 17 2002

GROUP 3600

FOREIGN PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| PA | | CA | 2,313,735 | | Commins | 07/07/2000 | | |
| | | GB | 383,460 | | Raworth & Moss | 11/17/1932 | | |
| | | GB | 1,099,472 | | Burgess et al. | 01/17/1968 | | |
| | | GB | 1,384,511 | | J.A. Kemp & Co. | 02/19/1975 | | |
| | | JP | 46-1521 | | Ielenwelke | 01/10/1971 | | |
| | | | 706586 | | | 12/30/1979 | | |
| | | | 796498 | | Rosavtorenprom | 01/15/1981 | | |

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

3 of 11

Please type a plus sign (+) inside this box

EV 073869319

PTO/SB/08A (10-96)

App. for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 4

| | |
|------------------------|-----------------|
| Application Number | 09/729,491 |
| Filing Date | 12/03/2000 |
| First Named Inventor | William F. Leek |
| Group Art Unit | 3635 |
| Examiner Name | Tran A. P. |
| Attorney Docket Number | SST/1035 |

U.S. PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| | | Number | Kind Code ² (if known) | | | |
| 1A | | 3,104,645 | | Harrison | 09/24/1963 | |
| | | 3,115,804 | | Johnson | 12/31/1963 | |
| | | 3,157,215 | | Zahodiakin | 11/17/1964 | |
| | | 3,161,174 | | Harrison | 12/15/1964 | |
| | | 3,174,386 | | Lewis | 03/23/1965 | |
| | | 3,285,120 | | Kartiala | 11/15/1966 | |
| | | 3,306,154 | | Bailey | 02/28/1967 | |
| | | 3,469,492 | | Dahl | 09/30/1969 | |
| | | 3,476,010 | | Markey | 11/04/1969 | |
| | | 3,728,933 | | Grube | 04/24/1973 | |
| | | 3,878,757 | | Puklus, Jr. | 04/22/1975 | |
| | | 3,948,141 | | Shinjo | 04/06/1976 | |
| | | 4,000,681 | | Coldren | 01/04/1977 | |
| | | 4,020,734 | | Bell | 05/03/1977 | |
| | | 4,037,516 | | Hart | 07/26/1977 | |
| | | 4,047,463 | | Coldren | 09/13/1977 | |
| | | 4,149,446 | | Spengler et al. | 04/17/1979 | |
| | | 4,433,879 | | Morris | 02/28/1984 | |
| | | 4,479,747 | | Pagel | 10/30/1984 | |
| | | 4,604,014 | | Frano | 08/05/1986 | |

RECEIVED

JUN 17 2002

GROUP 3600

FOREIGN PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Examiner
Signature

Date
Considered

8/9/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

4 of 11

Please type a plus sign (+) inside this box

EV 073869319

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 4

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/729,491 |
| Filing Date | 12/03/2000 |
| First Named Inventor | William F. Leek |
| Group Art Unit | 3635 |
| Examiner Name | Tran A. P. |
| Attorney Docket Number | SST/1035 |

U.S. PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| | | Number | Kind Code ² (if known) | | | |
| PA | | 4,665,672 | | Commings et al. | 05/19/1987 | |
| | | 4,703,711 | | Haynes | 11/03/1987 | |
| | | 4,708,555 | | Terry | 11/24/1987 | |
| | | 4,720,223 | | Neights et al. | 01/19/1988 | |
| | | 4,729,703 | | Sato | 03/08/1988 | |
| | | 4,761,860 | | Krauss | 08/09/1988 | |
| | | 4,812,096 | | Peterson | 03/14/1989 | |
| | | 4,875,314 | | Boilen | 10/24/1989 | |
| | | 4,887,948 | | Calmettes | 12/19/1989 | |
| | | 4,909,012 | | Thompson, Jr. et al. | 03/20/1990 | |
| | | 4,909,012 | | Thompson, Jr. et al. | 03/20/1990 | |
| | | 4,936,843 | | Sohnen | 06/26/1990 | |
| | | 4,954,032 | | Morales | 09/04/1990 | |
| | | 4,954,032 | | Morales | 09/04/1990 | |
| | | 4,979,857 | | Wing | 12/25/1990 | |
| | | 5,015,132 | | Turner et al. | 05/14/1991 | |
| | | 5,180,268 | | Richardson | 01/19/1993 | |
| | | 5,199,835 | | Turner | 04/06/1993 | |
| | | 5,228,250 | | Kesselman | 07/20/1993 | |
| | | 5,254,016 | | Ganthier | 10/19/1993 | |

RECEIVED

JUN 17 2002

GROUP 3000

FOREIGN PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Examiner
Signature

[Signature]

Date
Considered

8/9/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

5 of 11

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO


INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/729,491 |
| Filing Date | 12/03/2000 |
| First Named Inventor | William F. Leek |
| Group Art Unit | 3635 |
| Examiner Name | Tran A. P. |
| Attorney Docket Number | SST/1035 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|--------------------------|----------------------|--------------------------------------|--|--|--|
| | | Number | Kind Code ² (if known) | | | |
| <i>PA</i>  | | 5,340,258 | | Simon | 08/23/1994 | |
| | | 5,370,483 | | Hood et al. | 12/06/1994 | |
| | | 5,487,632 | | Hood et al. | 01/30/1996 | |
| | | 5,505,026 | | Fausto | 04/09/1996 | |
| | | 5,535,561 | | Schuyler | 07/16/1996 | |
| | | 5,570,549 | | Lung et al. | 11/05/1996 | |
| | | 5,704,572 | | Vogel et al. | 01/06/1998 | |
| | | 5,769,581 | | Wallace et al. | 06/23/1998 | |
| | | 5,815,999 | | Williams | 10/06/1998 | |
| | | 5,839,321 | | Siemons | 11/24/1998 | |
| | | 5,885,034 | | Fergusson | 03/23/1999 | |
| | | 5,931,618 | | Wallace et al. | 08/03/1999 | |
| | | 5,987,828 | | Hardy | 11/23/1999 | |
| | | 6,068,250 | | Hawkins et al. | 05/30/2000 | |
| | | 6,135,687 | | Leek et al. | 10/24/2000 | |
| | | 3,187,621 | | Turner | 06/08/1965 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

RECEIVED

JUN 17 2002

GROUP 500

FOREIGN PATENT DOCUMENTS

[illegible]

| | | | |
|-----------------------|--------------------|--------------------|--------|
| Examiner Signature | <i>[Signature]</i> | Date Considered | 8/9/02 |
|-----------------------|--------------------|--------------------|--------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

6 of 11

Please type a plus sign (+) inside this box

JUN 10 2002

EV 073869319

PTO/SB/08B (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/729,491 |
| Filing Date | 12/03/2000 |
| First Named Inventor | William F. Leek |
| Group Art Unit | 3635 |
| Examiner Name | Tran A. P. |
| Attorney Docket Number | SST/1035 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No.¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
|--------------------|-----------|---|----|
| PA | ✓ | "Device Keeps Shear Walls Tight," p. 49-50. | |
| | ✓ | "Expansion Jack Washer," (1999). | |
| | ✓ | "Thru-Bolt Log Fastening System," Heritage Log Homes, (1999). | |
| | ✓ | "Earthbound Seismic Holdown System Using The "Impasse Device"," Evaluation Report, ICBO Evaluation Service, Inc. (U.S.A.), p. 1-6, (November 1, 1997). | |
| | ✓ | Inquest Engineering, Manufacturers of the Earthbound System, Redefining the State of the Art in Seismic Holdown Technology (U.S.A.). | |
| | ✓ | "The Impasse Device," Inquest Engineering (U.S.A.), (1997). | |
| | ✓ | "LocTite, Automatic Self-Locking Nuts," LocTite (U.S.A.), (October, 1992). | |
| | | "Auto Take-Up," Zone Four Engineered Seismic Solutions (U.S.A.), p. 1-4, (2000). | |
| | | "AT Auto Take-Up," Zone Four Innovative Engineered Solutions (Friday Harbor, WA, U.S.A.), (2001). | |
| | ✓ | "ATS - Components," Simpson Strong-Tie (U.S.A.). | |
| | | | |

Examiner
Signature

Date

Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

7 of 11